

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/629,094	07/29/2003	Brian P. Giffin	14558.01	6379
7590 11/21/2006			EXAMINER	
David N. Fronek DORSEY & WHITNEY LLP Intellectual Property Department 50 South Sixth Street, Suite 1500 Minneapolis, MN 55402-1498				
			ART UNIT	PAPER NUMBER
			DATE MAILED: 11/21/2006	

Please find below and/or attached an Office communication concerning this application or proceeding.

Application No. Applicant(s) Notification of Non-Compliant Appeal Brief 10/629.094 GIFFIN, BRIAN P. (37 CFR 41.37) Examiner Art Unit Mark A. Deuble 3651 --The MAILING DATE of this communication appears on the cover sheet with the correspondence address--The Appeal Brief filed on 25 August 2006 is defective for failure to comply with one or more provisions of 37 CFR 41.37. To avoid dismissal of the appeal, applicant must file anamended brief or other appropriate correction (see MPEP 1205.03) within ONE MONTH or THIRTY DAYS from the mailing date of this Notification, whichever is longer. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136. 1. 🔯 The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order. The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, 2. 🔯 canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)). At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)). 4. (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)). The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)) The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 6. 🛛 41.37(c)(1)(vii)). The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 7. 41.37(c)(1)(viii)). The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any 8. other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)). The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x). Other (including any explanation in support of the above items): 10.🔯 See attachment.

U.S. Patent and Trademark Office PTOL-462 (Rev. 7-05)

NOTIFICATION OF NON-COMPLIANCE WITH THE REQUIREMENTS OF 37 CFR 41.37(c)

The brief does not contain the items of the brief required by 37 CFR 41.37(c) under the appropriate headings and/or in the order indicated.

The brief's statement of the <u>Status of the Claims</u> identifies the status of only the appealed claims rather than all the claims as required. The listing of the status of all the claims in the appendix is inappropriate.

The brief's <u>Summary of Claimed Subject Matter</u> does not refer to the specification by page and line number for each step laid out in the independent claim as required. While reference to the drawings with reference characters is appropriate, the summary must also refer to the page and line number of the specification for support of each step.

The brief's statement of <u>Grounds of Rejection To Be Reviewed On Appeal</u> contains only a statement of the rejection of claims 9-12 under 35 USC § 102(b) and not statements of the two rejections under 35 USC § 103 (a) as required. Additionally, the statement of the examiner's position is inappropriate in this section.

The brief's statement of <u>Argument</u> fails to provide separate headings for arguments of the rejections two rejections under 35 USC § 103 (a) as required.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark A. Deuble whose telephone number is (571) 272-6912.

The examiner can normally be reached on Monday through Friday except for alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gene O. Crawford can be reached on (571) 272-6911. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/629,094 Page 3

Art Unit: 3651

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

md